### NGS theory: Transcriptomics, RNAseq, scRNAseq

Richard H. Scheuermann, PhD J. Craig Venter Institute



# Transcriptome

- The population of mRNAs expressed by a genome at any given time (Abbott, 1999)
- The complete collection of transcribed elements of the genome. (Affymetrix, 2004)
- mRNAs: 35,913 transcripts in human (including alternative spliced variants)
- Non-coding RNAs
  - tRNAs (497 genes)
  - rRNAs (243 genes)
  - snmRNAs (small non-messenger RNAs)
  - microRNAs and siRNAs (small interfering RNAs)
  - snRNAs (small nuclear RNAs)
  - Pseudogenes (~ 2,000)

J Taylor, Oxford Univ.

J. Craig Venter

INSTITUTE

# Transcriptomics

- The study of the characteristics and regulation of the functional RNA transcript population of cells and organisms under specified conditions
  - The population of functional RNA transcripts
  - The mechanisms that regulate their production
  - The dynamics and variability of the transcriptome (time, cell type, genotype, external stimuli)



# Transcriptomics and Virology

- Study the dynamics of viral gene expression during an infection cycle
- Compare virus gene expression between acute infection, latency, and re-activation
- Get an understanding of the host genes and pathways that respond to viral infection
  - Pathways required for viral replication (candidate drug targets)
  - Host response pathways (possible determinants of virulence)
- Help elucidate the function of unknown genes based on their temporal and spatial patterns (guilt by association)

J. Craig Venter

- Proxy for changes in the proteome and metabolome
- Molecular biomarkers of disease

# Outline

- A very brief history of transcriptomics, including gene expression microarray technologies
- RNA sequencing for transcriptomics analysis
- Single cell RNA sequencing



## Early Evolution of Transcriptomics Technologies

• Northern blot – labor intensive, large amount of material, use of radioactivity, one gene at a time

• Macroarrays – more genes, still radioactivity

• qRT-PCR – no radioactivity, but still low throughput

• Microarrays – semi-quantitative, informatics requirements





# Advantages and Disadvantages of Microarrays

### Advantages

- Much higher throughput
- Multiplex transcriptome-level analysis
- Disadvantages
  - Relatively high experimental variability
  - Sensitive to alternative splicing ambiguities
  - Difficult to determine absolute transcript numbers
  - No information about target size is obtained, which can be helpful in recognizing crosshybridization to non-specific or related targets
  - Only one or two samples can be analyzed at a time
  - Requires prior knowledge of transcript sequences to design probe sets
  - Doesn't assess allele-specific expression



# **RNA SEQUENCING FOR TRANSCRIPTOMICS ANALYSIS**



# RNA-seq Advantages

- Genome-wide gene expression quantification
  - More accurate
  - Unbiased
- Essentially no noise or non-specific signal
- Mapping genes and exon boundaries
  - Single base resolution
  - Alternative splicing detection
- Novel transcripts detected
- But data is voluminous and complex
  - Need scalable, fast and mathematically principled analysis software and LOTS of computing resources







# Wet lab







## • Quality assessment

- Evaluate read library quality to identify poor quality samples and contaminants
  - Phred scoring
  - QC content
- Determine if primer and adapter sequences are present
- Presence of other over-represented sequences (e.g., rRNA)
- Software FastQC, SAMStat, samtools, MISO



# fastQC examples and criteria



- High quality along the entire read length
- Mean sequence quality curve mostly unimodal above Phred score of 30
- GC count mostly unimodal around 40-43% GC
- Few overrepresented sequences
- Few Kmer sequences with Obs/Exp Overall >10, except polyT early



# Adaptor & Quality Trimming

## • Trimmomatic

- Performs both primer/adapter and quality trimming
- Paired End (PE) aware: writes unpaired reads to separate file
- User provided adapter/primer .fasta file
- Example parameters for quality trimming
  - Trim leading and trailing bases by phred score (e.g., <3)
  - Quality trimming by user-specified base pair sliding window (e.g., 4 bp) and average phred score (e.g., <12)</li>

J. Craig Venter<sup>™</sup>

INSTITUTE

• Remove reads shorter than user-specified length (e.g., 60 bp)

Bolger Anthony, Lohse Marc, Usadel Bjoern. "Trimmomatic: a flexible trimmer for Illumina sequence data" bioinformatics Vol. 30 no. 15 2014, pages 2114–2120 doi:10.1093/bioinformatics/btu170.



# Tuxedo RNA-seq Pipeline



# Current "Tuxedo" RNA-seq Pipeline



### Inputs



# Current "Tuxedo" RNA-seq Pipeline



### Inputs



## HISAT2

- *Strategy:* HISAT2 uses a genome indexing scheme in order to make the alignment process more efficient and more accurate
- A genome index is a type of preprocessing that compresses the size of the text and makes queries fast: "Like the index at the end of a book, an index of a large DNA sequence allows one to rapidly find shorter sequences embedded within it."
- HISAT2 is based on a Hierarchical Graph FM \* index (HGFM). It generates one global GFM index but also many local indexes (each index representing a genomic region of 56 Kbp, with 55,000 indexes needed to cover human genome). At an index size of 56 Kbp, over 90% of introns are contained within the same index.
- The algorithm first searches the global index for a given read to find a region of interest, then loads the local index for that region and aligns the read. This gives significant efficiency boost, but also increases accuracy as the alignment process only attempts to align the potentially spliced reads within the context of the small index as opposed to the whole genome.



The examples include alignment of one exonic read and two junction reads (one an intermediate-anchored read and the other a longanchored read). Reads are error-free and 100-bp long.

\*Burrows-Wheeler transform and the Ferragina-Manzini (FM) index - <u>https://en.wikipedia.org/wiki/FM-index</u> \*\* Figure adapted from "Kim D, Langmead B and Salzberg SL. <u>HISAT: a fast spliced aligner with low memory requirements</u>. <u>Nature Methods</u> 2015" supplemental

# Current "Tuxedo" RNA-seq Pipeline



Inputs



# StringTie

- *Strategy:* StringTie uses a graph-based approach called network flow
  - 1. pulls in a cluster of reads for a region given by the alignment.
  - 2. Builds a splice graph of all isoforms for a given gene based on the annotation provided.
  - 3. Estimates heaviest flow using reads aligned to exons (nodes) and for that transcript a flow network is built.
  - 4. From the flow network, the abundance of that transcript is then estimated by maximal flow. These assembled reads are then removed.
  - 5. Process iterates until all reads are assigned to a transcript.

Pertea, M., Pertea, G., Antonescu, C. *et al.* StringTie enables improved reconstruction of a transcriptome from RNA-seq reads. *Nat Biotechnol* **33**, 290–295 (2015).



# StringTie optional input - GTF



an	Mouse	How to access data	FAQ	Documentation	About

### Format description of GENCODE GTF

#### A. TAB-separated standard GTF columns

column-number	content	values/format
1	chromosome name	chr{1,2,3,4,5,6,7,8,9,10,11,12,13,14,15,16,17,18,19,20,21,22,X,Y,M} or GRC accession <sup>a</sup>
2	annotation source	{ENSEMBL,HAVANA}
3	feature type	{gene,transcript,exon,CDS,UTR,start_codon,stop_codon,Selenocysteine}
4	genomic start location	integer-value (1-based)
5	genomic end location	integer-value
6	score(not used)	
7	genomic strand	{+,-}
8	genomic phase (for CDS features)	{0,1,2,.}
9	additional information as key-value pairs	see below

<sup>a</sup> Scaffolds, patches and haplotypes names correspond to their GRC accessions. Please note that these are different from the Ensembl names.

### https://www.gencodegenes.org/pages/data\_format.html



# Additional information

#### B. Key-value pairs in 9th column (format: key "value"; )

#### B.1. Mandatory fields

key name	feature type(s)	value format	release
gene_id	all	ENSGXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX	all
transcript_id <sup>d</sup>	all except gene	ENSTXXXXXXXXXXXXXXXXXXXXXXXXXXXX	all
gene_type	all	list of biotypes	all
gene_status <sup>e</sup>	all	{KNOWN, NOVEL, PUTATIVE}	until 25 and M11
gene_name	all	string	all
transcript_type <sup>d</sup>	all except gene	list of biotypes	all
transcript_status <sup>d,e</sup>	all except gene	{KNOWN, NOVEL, PUTATIVE}	until 25 and M11
transcript_name <sup>d</sup>	all except gene	string	all
exon_number <sup>f</sup>	all except gene/transcript/Selenocysteine	integer (exon position in the transcript from its 5' end)	all
exon_id <sup>f</sup>	all except gene/transcript/Selenocysteine	ENSEXXXXXXXXXXXXXX <sup>b</sup> _X <sup>g</sup>	all
level	all	1 (verified loci), 2 (manually annotated loci), 3 (automatically annotated loci)	all



# Example GTF

#### Example GTF lines:

chr19	HAVANA	gene 405438	40917	0		<pre>gene_id "ENSG00000183186.7"; gene_type "protein_coding"; gene_name "C2CD4C"; level 2; hava</pre>
chr19	HAVANA	transcript	405438	409170	•	gene_id "ENSG00000183186.7"; transcript_id "ENST00000332235.7"; gene_type "protein_co
chr19	HAVANA	exon 409006	40917	0		<pre>gene_id "ENSG00000183186.7"; transcript_id "ENST00000332235.7"; gene_type "protein_coding"</pre>
chr19	HAVANA	exon 405438	40840	1		<pre>gene_id "ENSG00000183186.7"; transcript_id "ENST00000332235.7"; gene_type "protein_coding"</pre>
chr19	HAVANA	CDS 407099	408361		0	<pre>gene_id "ENSG00000183186.7"; transcript_id "ENST00000332235.7"; gene_type "protein_coding";</pre>
chr19	HAVANA	start_codon	408359	408361		- 0 gene_id "ENSG00000183186.7"; transcript_id "ENST00000332235.7"; gene_type "protein_d
chr19	HAVANA	stop_codon	407096	407098		- 0 gene_id "ENSG00000183186.7"; transcript_id "ENST00000332235.7"; gene_type "protein_co
chr19	HAVANA	UTR 409006	409170			<pre>gene_id "ENSG00000183186.7"; transcript_id "ENST00000332235.7"; gene_type "protein_coding";</pre>
chr19	HAVANA	UTR 405438	407098			<pre>gene_id "ENSG00000183186.7"; transcript_id "ENST00000332235.7"; gene_type "protein_coding";</pre>
chr19	HAVANA	UTR 408362	408401			<pre>gene_id "ENSG00000183186.7"; transcript_id "ENST00000332235.7"; gene_type "protein_coding";</pre>



# StringTie output - GTF

#### 1. StringTie's primary GTF output

The primary output of StringTie is a Gene Transfer Format (GTF) file that contains details of the transcripts that StringTie assembles from RNA-Seq data. GTF is an extension of GFF (Gene Finding Format, also called General Feature Format), and is very similar to GFF2 and GFF3. The field definitions for the 9 columns of GTF output can be found at the Ensembl site here. The following is an example of a transcript assembled by StringTie as shown in a GTF file (scroll right within the box to see the full field contents):

seqname chrX chrX	source StringTie StringTie	feature transcript exon	start 281394 281394	end 303355 281684	score 1000 1000	strand + +	frame	attribut gene_id gene_id	es "ERR18804 "ERR18804

Description of each column's values:

- seqname: Denotes the chromosome, contig, or scaffold for this transcript. Here the assembled transcript is on chromosome X.
- source: The source of the GTF file. Since this example was produced by StringTie, this column simply shows 'StringTie'.
- feature: Feature type; e.g., exon, transcript, mRNA, 5'UTR).
- start: Start position of the feature (exon, transcript, etc), using a 1-based index.
- end: End position of the feature, using a 1-based index.
- score: A confidence score for the assembled transcript. Currently this field is not used, and StringTie reports a constant value
  of 1000 if the transcript has a connection to a read alignment bundle.
- strand: If the transcript resides on the forward strand, '+'. If the transcript resides on the reverse strand, '-'.
- frame: Frame or phase of CDS features. StringTie does not use this field and simply records a ".".
- attributes: A semicolon-separated list of tag-value pairs, providing additional information about each feature. Depending on
  whether an instance is a transcript or an exon and on whether the transcript matches the reference annotation file provided
  by the user, the content of the attributes field will differ. The following list describes the possible attributes shown in this
  column:
  - gene\_id: A unique identifier for a single gene and its child transcript and exons based on the alignments' file name.
  - transcript\_id: A unique identifier for a single transcript and its child exons based on the alignments' file name.
  - exon\_number: A unique identifier for a single exon, starting from 1, within a given transcript.
  - reference\_id: The transcript\_id in the reference annotation (optional) that the instance matched.
  - ref\_gene\_id: The gene\_id in the reference annotation (optional) that the instance matched.
  - ref\_gene\_name: The gene\_name in the reference annotation (optional) that the instance matched.
  - cov: The average per-base coverage for the transcript or exon.
  - FPKM: Fragments per kilobase of transcript per million read pairs. This is the number of pairs of reads aligning to this
    feature, normalized by the total number of fragments sequenced (in millions) and the length of the transcript (in
    kilobases).
  - TPM: Transcripts per million. This is the number of transcripts from this particular gene normalized first by gene length, and then by sequencing depth (in millions) in the sample. A detailed explanation and a comparison of TPM and FPKM can be found here, and TPM was defined by B. Li and C. Dewey here.

J. Craig Venter"

INSTITUTE

http://ccb.jhu.edu/software/stringtie/index.shtml?t=manual

# **RPKM** Normalization



Calculate transcript abundance

/		Gene A	Gene B				
	Sample 1	4	4				
	# of Reads						

# OF Reads

	Gene A	Gene B			
Sample 1	4	2			
Reads per kilobase of exon					

	Gene A	Gene B	Total
Sample 1	4	2	6
Sample 2	7	5	12

Reads per kilobase of exon

		Gene A	Gene B	Total
	Sample 1	.7	.3	6
	Sample 2	.6	.4	12
de	por kilobaso of		nillion mo	npod r

J. Craig Venter

INSTITUTE

Reads per kilobase of exon per million mapped reads **RPKM** 

# Alternative Normalized Counts

### RPKM

- Reads per kilobase per million normalizes the raw count by transcript length and sequencing depth.
- RPKM = (CDS read count \*  $10^9$ ) / (CDS length \* total mapped read count)

### FPKM

• Same as RPKM except if the data is paired then only one of the mates is counted, i.e., fragments are counted rather than reads

### TPM

- Transcripts per million (as proposed by Wagner et al 2012) is a modification of RPKM designed to be consistent across samples. It is normalized by total transcript count instead of read count in addition to average read length.
- TPM = (CDS read count \* mean read length \*  $10^6$ ) / (CDS length \* total transcript count)







# DEseq2

- Characteristics of RNAseq data
  - Non-normal distribution of expression values
  - Discrete rather than continuous
  - Dependence of variance on the mean (overdispersion)
  - Small sample sizes
- DEseq2
  - Model expression as a negative binomial distribution
  - Corrects dispersion estimates that are too low through modeling of the dependence of the dispersion on the average expression strength over all samples



Love, M.I., Huber, W. & Anders, S. Moderated estimation of fold change and dispersion for RNA-seq data with DESeq2. *Genome Biol* **15**, 550 (2014). https://doi.org/10.1186/s13059-014-0550-8

# Functional enrichment analyses

GO Enrichment



• GO-BAYES



• GSEA

• Enrichr









### **DAVID Bioinformatics Resources 6.7**

National Institute of Allergy and Infectious Diseases (NIAID), NIH

#### **Functional Annotation Chart**

Help and Manual

Current Gene List: List\_1 **Current Background: Homo sapiens** 580 DAVID IDs

Options

Rerun Using Options Create Sublist

**19** chart records

Download File

Sublist	Category	¢ <u>Term</u>	🗘 RT	Genes	Count :	<u>%</u>	P-Value	<b>≑ <u>Benjamini</u> ≑</b>
	KEGG_PATHWAY	Cytokine-cytokine receptor interaction	<u>RT</u>		33	5.7	5.0E-11	5.8E-9
	KEGG_PATHWAY	RIG-I-like receptor signaling pathway	RT	÷	13	2.2	2.7E-6	1.6E-4
	KEGG_PATHWAY	NOD-like receptor signaling pathway	RT	<b>a</b>	12	2.1	4.3E-6	1.7E-4
	KEGG_PATHWAY	Toll-like receptor signaling pathway	<u>RT</u>	=	15	2.6	4.5E-6	1.3E-4
	KEGG_PATHWAY	MAPK signaling pathway	RT		23	4.0	5.5E-5	1.3E-3
	KEGG_PATHWAY	Jak-STAT signaling pathway	<u>RT</u>	Ξ.	16	2.8	1.6E-4	3.0E-3
	KEGG_PATHWAY	Chemokine signaling pathway	RT		17	2.9	4.0E-4	6.6E-3
	KEGG_PATHWAY	Hematopoietic cell lineage	<u>RT</u>	<b>a</b>	9	1.6	7.1E-3	9.8E-2
	KEGG_PATHWAY	B cell receptor signaling pathway	RT	÷	8	1.4	1.1E-2	1.4E-1
	KEGG_PATHWAY	Neurotrophin signaling pathway	<u>RT</u>	<b>a</b>	10	1.7	2.1E-2	2.2E-1
	KEGG_PATHWAY	Apoptosis	RT	÷	8	1.4	2.4E-2	2.3E-1
	KEGG_PATHWAY	T cell receptor signaling pathway	<u>RT</u>	<b>a</b>	9	1.6	2.6E-2	2.2E-1
	KEGG_PATHWAY	Cytosolic DNA-sensing pathway	RT	÷	6	1.0	3.4E-2	2.7E-1

# Highlight factors in KEGG Pathways

#### Pathway:RIG-I-like receptor signaling pathway



# Virology and Transcriptomics

- Study the dynamics of viral gene expression during and infection cycle
- Compare virus gene expression between acute infection, latency, and re-activation
- Get an understanding of the genes and pathways that respond to viral infection
  - Pathways required for viral replication (candidate drug targets)
  - Host response pathways (possible determinants of virulence)
- Help elucidate the function of unknown genes based on their temporal and spatial patterns (guilt by association)

J. Craig Venter

- Proxy for changes in the proteome and metabolome
- Molecular biomarkers of disease

# SINGLE CELL RNA-SEQ



# Single Cell Profiling

- Cells are the fundamental functional units of multicellular organisms
- Different cell types play different physiological roles in the body
- Cell identity and function (phenotype) is dictated by the subset of genes/proteins expressed
- Abnormalities in the expressed genome (disorders) form the physical basis of disease
- Understanding normal and abnormal cellular phenotypes is key for diagnosing disease and for identifying therapeutic targets



Bruce Wetzel & Harry Schaefer, National Cancer Institute http://en.wikipedia.org/wiki/Image:SEM\_blood\_cells.jpg

- Transcriptional profiling of bulk samples obscures the cellular complexity of tissues
- Single cell RNA sequencing allows us to quantify cellular phenotypes in an unbiased fashion, enabling the evaluation of both known and novel cell subsets in tissue samples
- Explainable Artificial Intelligence has emerged as a valuable tool to characterize this complexity

J. Craig Venter



# Smart-seq2

- Poly-A hybridization with 30nt polyT and 25nt 5' anchor sequence
- RT adding untemplated C
- Template switching with TSO
- Locked nucleic acid binds to untemplated C
- RT switches template
- Preamplification / cleanup
- DNA fragmentation and adapter ligation together
- Gap repair, enrich, purify

Picelli S, (2013) Nat Methods 10:1096-8.



# Smart-Seq2



## **10X Genomics**



# Smart-seq vs 10X

characteristics	Smart-seq	10X
Genes detected	4000 - 6000	1000 - 2000
Transcript structure	Full length transcripts	3' or 5' end only
Alternative splicing	Yes	No
PCR amplification bias	Yes	No (UMI)
Throughput	100-1000's	10,000
Labor intensive	Yes	No
Cost	~\$30/cell	~\$1/cell



# scRNA-seq Processing & Analysis Workflow



### Dimensionality Reduction, Unsupervised Clustering and Visualization

- *Strategy:* using cell by gene expression values, perform PCA, unsupervised clustering and visualization in projected space
  - Unsupervised clustering
    - Louvain graph-based community detection algorithm; Vincent Blondel, University of Louvain; J. Stat. Mech. (2008) P10008
    - Leiden improvement to Louvain to ensure that all communities are guaranteed to be connected; Vincent Traag, Leiden University; <u>https://www.nature.com/articles/s41598-019-41695-z</u>
    - SC3 unsupervised consensus clustering using multiple distance metrics, and dimensionality reduction methods for a user defined range of k (clusters); Martin Hemberg, Wellcome Trust Sanger Institute; <a href="https://doi.org/10.1038/nmeth.4236">https://doi.org/10.1038/nmeth.4236</a>.
  - Visualization in projected space
    - tSNE van der Maaten, L.J.P.; Hinton, G.E. (Nov 2008). "Visualizing Data Using t-SNE" (PDF). Journal of Machine Learning Research. 9: 2579–2605.

J. Craig Venter

- UMAP McInnes, Leland; Healy, John; Melville, James (2018-12-07). "Uniform manifold approximation and projection for dimension reduction". arXiv:1802.03426.
- $\circ$  Platforms
  - Seurat Rahul Satija, New York Genome Center; http://satijalab.org/seurat/
  - Scanpy Fabian Theis, Helmholtz University; <u>https://scanpy.readthedocs.io/en/stable/</u>

# Principal Component Analysis (PCA)

- Linear transformation for combining difference between data objects across all N original dimensions
- Convert originally correlated variables into linearly uncorrelated variables (PC: principal components) by:
  - Eigenvalue decomposition of data covariance matrix, or
  - Single value decomposition of data matrix
- The goal is to use a subset of transformed dimensions to represent the difference across all original dimensions for dimensionality reduction
- Generated dimensions (PCs) lose the meaning of the original variables
- May not be able to identify small data clusters, depending on the relative scaling of the original variables





# Clustering using Louvain

- 1. Build an unweighted k nearest neighbor (KNN) graph
- 2. Add weights, and obtain a shared nearest neighbor (SNN) graph
- 3. Iterate to optimize modularity



J. Craig Venter"

INSTITUTE



### Effect of resolution parameter

## t-Distributed Stochastic Neighbor Embedding (t-SNE)

- Nonlinear transformation
- Goals is to plot similar data objects nearby, and dissimilar objects distant by:
  - Constructing t-distributed probability model over pairs of high-dimensional data objects
  - Minimizing Kullback-Leibler (KL) distance between the high-D distribution and the low-D distribution
- Generated dimensions lose the meaning of the original variables
- Distance between objects on transformed low-D space does not correspond to (in a ratio to) original variance in high-D space
- Small data clusters can be identified, depending on their similarity with the other data objects
- Requires setting at least two algorithm parameters that usually change the 2D layout significantly





J. Craig Venter

INSTITUTE

# scRNA-seq Processing & Analysis Workflow





- Publications
  - NS-Forest v1.0 Aevermann B, et al. (2018) Human Molecular Genetics, 27(R1):R40-R47. PMID: 29590361
  - o NS-Forest v2.0 Aevermann B, et al. (2021) Genome Research, 31:1767-1780. PMID: 34088715
  - FR-Match v1.0 Zhang Y, et al. (2021) Briefings in Bioinformatics, 22:bbaa339. PMID: 33249453
  - o FR-Match v2.0 https://www.biorxiv.org/content/10.1101/2021.10.17.464718v2
  - <sup>o</sup> Cortical layer 1 cell types Boldog E, et al. (2018) *Nature Neuroscience*, 21: 1185-1195. PMID: 30150662
  - o MTG human cell types Hodge RD, et al. (2019) *Nature*, 573:61-68. PMID: 31435019
  - o M1 human, mouse, marmoset Bakken T, et al. (2021) *Nature*, 598:111-119. PMID: 34616062
- Source Code
  - NS-Forest source code is available at <u>https://github.com/JCVenterInstitute/NSForest</u>
  - FR-Match source code is available at <u>https://github.com/JCVenterInstitute/FRmatch</u>
- Protocols
  - NS-Forest protocol is available at <u>https://www.protocols.io/view/ns-forest-version-2-un7evhn</u>
  - <sup>o</sup> FR-Match protocol is available at <u>https://www.protocols.io/view/fr-match-cell-type-matching-for-scrnaseq-data-bmyfk7tn</u>
- Ontology
  - PCL is available through the BioPortal <u>https://bioportal.bioontology.org/ontologies/PCL</u>

